

Image

1714

Please type a plus sign (+) inside this box →



PTO/SB/21(08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/935,619
Filing Date	August 23, 2001
First Named Inventor	Sabine Beuermann
Group Art Unit	1714
Examiner Name	Edward J. Cain
Attorney Docket Number	FA0890 US.NA

Total Number of Pages in This Submission

6

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO 1449 w/ references Certificate of Mailing Receipt Card
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

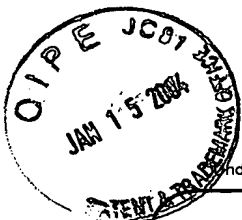
Firm or Individual name	Hilmar L. Fricke, Registration No. 22,384
Signature	
Date	January 12, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on this date January 12, 2004

Typed or printed name	Jeannette Y. Rayfield		
Signature		Date	January 12, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.



PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	09/935,619
Filing Date	August 23, 2001
First Named Inventor	Sabine Beuermann
Examiner Name	Edward J. Cain
Group / Art Unit	1714
Attorney Docket No.	FA 0890 US NA

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

04-1928

Deposit
Account
Name

E. I. du Pont de Nemours and Company

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Total Claims -20** = Extra Claims X Fee from below = Fee Paid
Independent Claims -3** = X =
Multiple Dependent ☐ X =

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

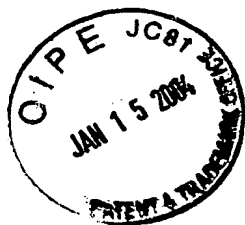
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Hilmar L. Fricke	Registration No. Attorney/Agent)	22,384	Telephone	(302) 984-6058
Signature		Date	January 12, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038, Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SABINE BEUERMANN

Art Unit: 1714

Application No.: 09/935,619

Examiner: Edward J. Cain

Filed: August 23, 2001

Attorney Docket No.: FA0890 US NA

For: A PROCESS FOR THE PREPARATION OF POWDER COATING
COMPOSITIONS

INFORMATION DISCLOSURE STATEMENT

Mail Stop IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following references were cited in the Partial European Search Report:

US 5,506,317, US 5,530,077, US 5,552,502, US 5,663,237, US 5,824,726, US
6,057,409, DE 17 45 386 (US equivalent attached), DE 3609829 (English abstract
attached), EP 0 136 813, EP 0 239 035 (English abstract attached), EP 0 301 532, EP
0 509 842 A2, EP 0 669 858, EP 0 806 438, EP 0 964 009, JP 8104830, JP
2001151802, WO 0005273, WO 0157095 A1, WO 9320116, WO 9534606, WO
9628477, WO 9828351, and WO 9834967.

Listed on accompanying Form PTO-1449 are the above-references and the
Partial European Search Report which references and search report may be considered

Application No.: 09/935,619
Attorney Docket No.: FA0890 US NA

material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.


Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 04-1928.

Respectfully submitted,

By: 
Hilmar L. Fricke
Reg. No. 22,384

Date: January 12, 2004
POTTER ANDERSON & CORROON LLP
P.O. Box 951
Wilmington, DE 19899-0951
Telephone: (302) 984-6058
Facsimile: (302) 658-1192
614991



Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on January 12, 2004
Date


Signature

Jeannette Y. Rayfield
Type or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

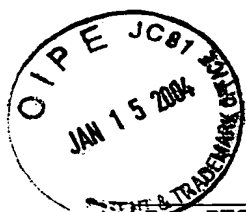
Serial Number: 09/935,619

Attorney Docket: FA 0890 US NA

Information Disclosure Statement

Form PTO 1449 w/references

Receipt Card



Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. FA0890 US NA	Application No. 09/935,619
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Filing Date: August 23, 2001	
		First Named Inventor: Sabine Beuermann	
		Examiner Name: Edward J. Cain	Art Group Unit: 1714

U.S. PATENT DOCUMENTS

Examiner Initial	Cite No.	Office	Document Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication	Pages, Cols., Lines where, Relevant Passages Appear
		US	5,506,317		DeSimone et al.	04-09-96	
		US	5,530,077		DeSimone et al.	06-25-96	
		US	5,552,502		Odell et al.	09-03-96	
		US	5,663,237		Lee et al.	09-02-97	
		US	5,824,726		DeSimone et al.	10-20-98	
		US	6,057,409		Cunningham et al.	05-02-00	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Cite No.	Office	Document Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication	Pages, Cols., Lines where, Relevant Passages Appear	T
	✓	DE	17 45 386		Sumitomo Chemical Co.	03-09-72	US equivalent attached	
	✓	DE	36 09 829	A1	BASF AG	09-24-87	English abstract attached	
	✓	EP	0 136 813		Exxon Research and Engineering Company	10-04-85		
	✓	EP	0 239 035	A2	BASF AG	09-30-87	English abstract attached	
	✓	EP	0 301 532	A2	The B.F. Goodrich Co.	02-01-89		
	✓	EP	0 590 842	A2	Rohm and Hass Company	04-06-94		
	✓	EP	0 669 858		Ferro Corp.	09-06-95	Abstract of WO9409913 attached	
	✓	EP	0 806 438	A2	Bayer AG	11-12-97	English abstract attached	
	✓	EP	0 964 009	A1	DuPont (US)	12-15-99		
	✓	JP	8-104830		Nippon Paint Co. Ltd.	04-23-96	English abstract attached	
	✓	JP	2001-151802		NOF Corp. et al.	06-05-01	English abstract attached	
	✓	WO	93/20116	A1	University of N. Carolina at Chapel Hill	10-14-93		
	✓	WO	95/34606	A1	Herberts & Co. GMBH	12-21-95		
	✓	WO	96/28477	A1	DeSimone et al.	09-19-96		
	✓	WO	98/28351	A1	Brothers et al.	07-02-98		
	✓	WO	98/34967	A1	DeSimone	08-13-98		
	✓	WO	00/05273	A1	BASF AG	02-03-00		
	✓	WO	01/57095	A1	Lousenberg et al.	08-09-01		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Partial European Search Report	
EXAMINER	DATE CONSIDERED